



UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/037,143	11/07/2001	Jay S. Walker	01-046

22927  
WALKER DIGITAL  
FIVE HIGH RIDGE PARK  
STAMFORD, CT 06905

CONFIRMATION NO. 4811

FORMALITIES LETTER



\*OC000000007485677\*

Date Mailed: 02/15/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/07/2002 MBERHE 00000103 500271 10037143

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TRANSMITTAL LETTER  
(General - Patent Pending)

Docket No.  
01-046

In Re Application Of: WALKER et al.

MAR 01 2002

PTO-146  
PATENT & TRADEMARK OFFICE

Serial No.

10/037,143

Filing Date

November 7, 2001

Examiner

Not Yet Assigned

Group Art Unit

Not Yet Assigned

Title: MULTIPLE PARTY REWARD SYSTEM UTILIZING SINGLE ACCOUNT

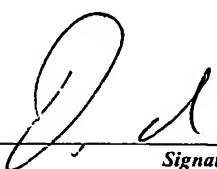
TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Copy of Notice to File Missing Parts (1 pg.);  
Response to File Missing Parts of Application (3 pp.) (x2);  
Assignment of Rights, Title and Interest in Invention (4 pp.);  
Declaration and Power of Attorney (5 pp.);  
Recordation Form Cover Sheet (4 pp.) (x2); and Return Receipt Postcard.

in the above identified application.

- No additional fee is required.
- A check in the amount of \_\_\_\_\_ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below. A duplicate copy of this sheet is enclosed.
- Charge the amount of \$170.00
- Credit any overpayment.
- Charge any additional fee required.



Signature

Dated: February 21, 2002

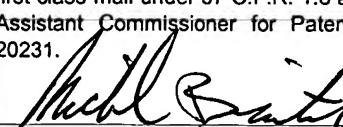
Dean P. Alderucci  
Attorney for Applicants  
PTO Registration No. 40,484  
(203) 461-7337/phone  
(203) 461-7300/fax

Customer No. 22927

Alderucci@walkerdigital.com

CC:

I certify that this document and fee is being deposited on February 21, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

Michael D. Brinton

Typed or Printed Name of Person Mailing Correspondence

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
01-046

In Re Application Of: **WALKER et al.**

MAR 01 2002

PATENT & TRADEMARK EXAMINER  
EXAMINER

Serial No.  
10/037,143

Filing Date  
November 7, 2001

Examiner  
Not Yet Assigned

Group Art Unit  
Not Yet Assigned

Invention: **MULTIPLE PARTY REWARD SYSTEM UTILIZING SINGLE ACCOUNT**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

February 15, 2002

Date

Enclosed herewith for filing are the following:

- A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- Other (list):

Transmittal Letter (1 pg.) (x2);  
Copy of Notice to File Missing Parts (1 pg.);  
Assignment of Rights, Title and Interest in Invention (4 pp.);  
Declaration and Power of Attorney (5 pp.);  
Recordation Form Cover Sheet (4 pp.) (x2); and  
Return Receipt Postcard.

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Envelope) P E**

Docket No.

In Re Application Of: **WALKER et al.**

MAR 01 2002

Serial No.  
10/037,143

**Filing Date**

November 7, 2001

**Not Yet Assigned**

Group Art Unit  
Not Yet Assigned

**Invention: MULTIPLE PARTY REWARD SYSTEM UTILIZING SINGLE ACCOUNT**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

## Box Missing Parts

- Completion of application fees as calculated below:

Utility application filing fee

Design application filing fee

Total number of independent claims =

Total number of claims =

Multiple dependent claims

Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

Petition and fee for filing by other than all the inventors or a person not the inventor

Fee for processing an application filed with a non-English language specification

Fee for processing and retention of application

Total completion of application fees \$130.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

One month       Two months       Three months       Four months       Five months

from:

until

Date

### Total time extension fees

Total fees due \$130.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
01-046

In Re Application Of: **WALKER et al.**

O I P E  
M A R 0 1 2002  
P A T E N T & T R ADE M A R K A F F I L E  
J C I S

Serial No.  
**10/037,143**

Filing Date  
**November 7, 2001**

Examiner  
**Not Yet Assigned**

Group Art Unit  
**Not Yet Assigned**

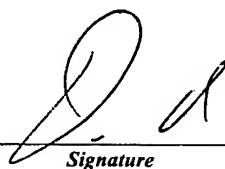
Invention: **MULTIPLE PARTY REWARD SYSTEM UTILIZING SINGLE ACCOUNT**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

The fee of **\$130.00** is to be paid as follows:

- A check in the amount of the fee is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0271**  
A duplicate copy of this sheet is enclosed.
- If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0271**  
A duplicate copy of this sheet is enclosed.



*Signature*

Dated: **February 21, 2002**

**Dean P. Alderucci**  
Attorney for Applicants  
PTO Registration No. 40,484  
(203) 461-7337/phone  
(203) 461-7300/fax

**Customer No. 22927**

**cc: Alderucci@walkerdigital.com**

I certify that this document and fee is being deposited on **February 21, 2002** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



*Signature of Person Mailing Correspondence*

**Michael D. Brinton**

**Typed or Printed Name of Person Mailing Correspondence**